

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8096154
<b>Application Number:</b>	10692067
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7243
<b>Title of Invention:</b>	System and method for the automated brokerage of financial instruments
<b>First Named Inventor/Applicant Name:</b>	Michael James Tully
<b>Customer Number:</b>	21888
<b>Filer:</b>	Benjamin Lowell Volk/Laurie Poss
<b>Filer Authorized By:</b>	Benjamin Lowell Volk
<b>Attorney Docket Number:</b>	36194/42366
<b>Receipt Date:</b>	27-JUL-2010
<b>Filing Date:</b>	22-OCT-2003
<b>Time Stamp:</b>	12:50:49
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940
RAM confirmation Number	10235
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE.pdf	918821 f331159336d801aaec719d0717877372582 33f5a	no	3

**Warnings:****Information:**

2		Amendment-RCE.PDF	693659 8ae8b2374833e75f89932c181a458ea568ff ad3b	yes	15
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**Multipart Description/PDF files in .zip description****Document Description**      **Start**      **End**

Amendment Submitted/Entered with Filing of CPA/RCE      1      1

Claims      2      12

Applicant Arguments/Remarks Made in an Amendment      13      15

**Warnings:****Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	32212 208f00360350dd463570fae57f9377833592 78ba	no	2
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**Warnings:****Information:****Total Files Size (in bytes):** 1644692

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.